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<b>(21) International Application Number:</b> PCT/US99/17544 <b>(22) International Filing Date:</b> 2 August 1999 (02.08.99)  <b>(30) Priority Data:</b> 60/095,180 3 August 1998 (03.08.98) US  <b>(71) Applicant:</b> FOTO-WEAR, INC. [US/US]; 101 Pocono Drive, Milford, PA 18337 (US).  <b>(72) Inventors:</b> WILLIAMS, Scott, A.; 1532 Hemlock Farms, 109 Saddlebrook Lane, Hawley, PA 18428 (US). HARE, Donald, S.; RR2 Box 489H, Hawley, PA 18428 (US). PENK, Heather; P.O. Box 6538, Santa Maria, CA 93456 (US). REID, Heather; 74 Burlington Court, Hamburg, NJ 07419 (US).  <b>(74) Agents:</b> WEINER, Marc, S. et al.; Birch, Stewart, Kolasch & Birch, LLP, P.O. Box 747, Falls Church, VA 22042-0747 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> TRANSFERABLE COLORANTS AND METHOD OF APPLYING AN IMAGE TO A RECEPTOR ELEMENT  <b>(57) Abstract</b>  The present invention relates to a water-soluble transferable colorant composition which comprises a water soluble transfer material and a colorant wherein the transferable colorant is capable of transferring from a substrate and adhering to a receptor element upon the application of heat energy to the rear surface of the substrate, the transferable colorant strips from the front surface of the substrate when heated, the transferable colorant providing adherence to said receptor element by flowing onto said receptor element and solidifying thereon. The present invention further relates to a method of transferring the water-soluble transferable colorant composition to a receptor element.		

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TRANSFERABLE COLORANTS AND  
METHOD OF APPLYING AN IMAGE  
TO A RECEPTOR ELEMENT

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to a transferable colorant composition per se, and to a method of applying an image to a receptor element. More specifically, the present invention relates to a transferable colorant composition that can be applied to various substrates, then optionally transferred to a receptor element using heat and pressure. The transferable colorant compositions of the invention are capable of being directly transferred (e.g. by painting) to, for instance, a textile such as a shirt or the like without requiring the use of commercial equipment, such as video cameras, computers, color copiers, home and/or lithographic printers.

2. Description of the Prior Art

Textiles such as shirts (e.g., tee shirts) having a variety of designs thereon have become very popular in recent years. Many shirts are sold with pre-printed designs to suit the tastes of consumers. In addition, many customized tee shirt stores are now in business which permit customers to select designs or decals of

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their choice. Processes have also been proposed which permit customers to create their own designs on transfer sheets for application to tee shirts by use of a conventional iron, such as described in U.S. Patent  
5 No. 4,244,358 issued September 23, 1980. Furthermore, U.S. Patent No. 4,773,953 issued September 27, 1988, is directed to a method for utilizing a personal computer, a video camera or the like to create graphics, images, or creative designs on a fabric.

10 U.S. Patent 5,620,548 is directed to a silver halide photographic transfer element and to a method for transferring an image from the transfer element to a receptor surface.

Provisional application 60/029,917 filed November  
15 4, 1996 requires that silver halide light sensitive grains are dispersed within a carrier which functions as a transfer layer, and does not have a separate transfer layer.

Provisional Application Serial Number 60/030,933  
20 filed November 15, 1996 is directed to a transfer element using CYCOLOR technology and to a method of transferring a developed image to a receptor surface, wherein the imaging system comprises a support, microcapsules, developer, and transfer material.

25 Provisional Application Serial Number 60/065,806 filed November 14, 1997 requires that the microcapsules and transfer material are in separate layers.

US Patents 4,185,957 and 4,139,343 disclose sublimation dyes in an ink base with ethyl cellulose.  
30 In these patents, textiles are colored with water-insoluble dyestuffs that undergo sublimation. The dye on the substrate is caused to sublime or be vaporized onto the surface of the textile to penetrate the fibers and to be entrained therein.

35 US Patent 5,269,865 discloses a transfer material and colorant for use in a thermal transfer recording

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method capable of providing a recorded image, which is removable by lift-off correction, on a recording medium (i.e. paper).

U.S. Patent 5,487,614 discloses a transferable material containing heat activated, sublimation ink solids. The ink solids are transferred by means of a printer from a ribbon to a medium. The heat activated ink solids used do not have an affinity for highly absorbent fibers such as cotton. Thus, a printer ribbon panel having a polymeric coating thereon acts as a surface coating for the cotton component of the substrate. The heat sublimates the ink solids during the transfer from the medium to the substrate.

U.S. Patent 5,575,877 discloses a computer driven means to print an image by means of selective transfer of inks or dyes. An ink or dye is printed from a ribbon to a medium. A 'polymeric surface preparation material' is then printed over the design formed by the ink or dye. Optionally, the surface preparation material may be mixed with the ink formulation when, for example, one or more of the panels of the ribbon of the multiple pass thermal printer incorporates a polymeric surface preparation material which is printed onto the medium with the ink and binder.

U.S. Patents 5,268,052 and 4,870,427 disclose a thermal transfer of a thermoplastic ink formulation from a transfer sheet (ink ribbon) onto a receiver sheet. The ink ribbon contains thereon a heat-fusible binder such as wax and heat fusible resin.

#### SUMMARY OF THE INVENTION

The present invention relates to a water-soluble or dispersible transferable colorant composition, which comprises a water-soluble transfer material having a melting point of at least 65°C and comprising at least one of:

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(i) particles of a thermoplastic polymer having dimensions of about 1 to about 50 micrometers, from about 10 to about 50 weight percent of a film forming binder, based upon the weight of the thermoplastic polymer, and optionally from about 0.2 to about 10 weight percent of a fluid viscosity modifier, based on the weight of the thermoplastic polymer;

(ii) about 15 to about 80 percent by weight of a film-forming binder selected from the group consisting of ethylene-acrylic acid copolymers, polyolefins, and waxes and from about 85 to about 20 percent by weight of a powdered thermoplastic polymer selected from the group consisting of polyolefins, polyesters, polyethylene, polyamides, waxes, epoxy polymers, ethylene-acrylic acid copolymers, and ethylene-vinyl acetate copolymers, wherein each of said film-forming binder and said powdered thermoplastic polymer melts in the range of from about 65°C to about 180°C and the powdered thermoplastic polymer comprising particles of about 1 to about 50 micrometers;

(iii) a film forming binder selected from the group consisting of ethylene-acrylic acid copolymers having particles of about 1 to about 50 micrometers, polyolefins, and waxes and which melts in the range of from about 65°C to about 180°C;

(iv) a thermoplastic polymer having particles of about 1 to about 50 micrometers selected from the group consisting of polyolefins, polyesters, and ethylene-vinyl acetate copolymers and which melts in the range of from about 65°C to about 180°C;

(v) a thermoplastic polymer having particles of about 1 to about 50 micrometers selected from the group consisting of polyolefins, polyesters, and ethylene-vinyl acetate copolymers, ethylene-methacrylic acid copolymers, and ethylene-acrylic acid

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copolymers and which melts in the range of from about 65°C to about 180°C; or

(vi) a natural wax.

The water-soluble or dispersible transferable  
5 colorant composition further comprises a water soluble or dispersible colorant.

The present invention further relates to a method of applying an image to a receptor element which comprises the steps of:

10 (A) applying the water-soluble or dispersible transferable colorant composition of the present invention to a substrate;

(B) positioning a surface of said substrate having the transferable colorant composition thereon  
15 against said receptor element; and

(C) applying heat to the rear surface of said substrate to transfer said transferable colorant composition to said receptor element.

The present invention further comprises a kit  
20 comprising:

(A) at least one container having a water-soluble or dispersible transferable colorant composition;

(B) at least one application means for applying  
25 said transferable colorant composition to a substrate; and

(C) optionally at least one substrate and/or at least one receptor element.

The present invention further relates to a method  
30 of applying an image to a receptor element that comprises the steps of:

(A) applying a water-soluble or dispersible colorant composition to a receptor element;

(B) positioning a surface of a non-stick sheet  
35 against said receptor element over the area on which

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said water-soluble colorant composition was applied,  
and;

(C) applying heat to the rear surface of said  
non-stick sheet; and

5 (D) removing said non-stick sheet from said  
receptor element.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will become more fully  
10 understood from the detailed description given  
hereinbelow, and the accompanying drawings which are  
given by way of illustration only, and thus are not  
limitative of the present invention, and wherein:

FIGURE 1 illustrates the ink transfer procedure  
15 wherein the transferable colorant composition releases  
from the substrate upon the application of heat and  
pressure, and is transferred onto the receptor element;  
and

FIGURE 2 illustrates the step of ironing the  
20 substrate which is positioned onto a tee shirt or the  
like.

#### DETAILED DESCRIPTION OF THE INVENTION

In order to attract the interest of consumer  
25 groups that are already captivated by the tee shirt  
rage described above, the present inventors provide  
the capability of transferring images created by the  
owner directly to a receiver element using a  
transferable colorant. A unique advantage of the  
30 invention is to enable all consumers to wear and  
display on apparel designs created themselves and to  
do so in the single most cost and time efficient  
means.

This invention entails the creation of a colorant  
35 (e.g. ink) that can be applied to various substrates  
and then transferred to a receptor element using heat



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(e.g. from a household hand-held iron) and pressure (e.g. typical pressure used when ironing in, for instance, a circular motion). In one embodiment, the transferable colorant composition comprises a wax dispersion with a thermoplastic polymer/colorant complex. In this embodiment, the wax dispersion and thermoplastic polymer/colorant components combine to form a liquid, aqueous phase. The liquid, aqueous phase is applied to a substrate using any type of application device (e.g. an ink jet printer, paintbrush, Magic Marker, pen, etc.). The applied liquid is preferably allowed to dry completely prior to subsequent processing in order to avoid smudging of the image. The dry image is placed image side down on a receptor. Heat and pressure are applied to the non-image side of the substrate until transfer is complete. Transfer under the conditions described herein usually occurs after heat and pressure are applied (i.e. approximately 30 seconds). The substrate is then removed from the receptor.

In another embodiment of the present invention, a transferable colorant composition comprises a transfer material that has a melting point of at least 65°C, preferably at least 100°C, and a colorant. Said transfer material is capable of transferring and adhering the transferable colorant composition of the invention from a front surface of a substrate support upon the application of heat energy to the rear surface of the substrate, said transfer composition strips from said front surface of the substrate by liquefying and releasing from said substrate when heated, said liquefied transferable colorant composition providing adherence to a receptor element by flowing onto said receptor element and solidifying thereon. The particle size of the transfer material is

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from 1 to 50 micrometers, preferably 2 to 50 micrometers, and more preferably 1 to 20 micrometers.

The receptor surface or element for the image may be a textile such as a shirt (e.g. tee shirt) or the like. Other suitable receptor surfaces include but are not limited to metals, leather, canvas, paper, glass, ceramic, wool, cotton fabric, cotton blend fabric, plastic or receptor supports used by the museum or conservatory industry. Energy applied to the rear surface of the substrate is heat and/or pressure (e.g. via ironing such as by a household iron or commercial heat press).

The present invention also relates to a method of applying a transferable colorant composition to a receptor element, which comprises the steps of:

(a) applying a transferable colorant composition comprising the transfer material and colorant of the invention to a substrate, said transfer material being capable of transferring and adhering applied areas from said front surface of said substrate upon the application of heat energy to the rear surface of the substrate, said transfer composition and colorant strips from said front surface of the substrate by liquefying and releasing from said substrate when heated, the liquefied transferable colorant composition providing adherence to a receptor element by flowing onto said receptor element and solidifying thereon, said adherence does not require an external adhesive layer;

(b) positioning the front surface of said substrate against said receptor element; and

(c) applying energy (e.g. heat) to the rear surface of the substrate to transfer said transferable colorant composition to said receptor element.

Accordingly, the transferable colorant composition of the invention is capable of transferring and

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adhering from the front surface of the substrate upon the application of heat energy to the rear surface of the substrate. The transferable colorant composition strips from said front surface of the substrate by  
5 liquefying and releasing from the substrate when heated. The liquefied transfer material provides adherence to a receptor element by flowing onto the receptor element and solidifying thereon. The adherence does not require an external adhesive layer.

10 In this description, the term transferable colorant composition refers to (i) a wax dispersion and/or a thermoplastic polymer, and (ii) colorant mixture. Substrate refers to any substance onto which the transferable colorant composition is applied, such  
15 as paper. The receptor refers to any object onto which the dried transferable colorant composition (on the substrate) is transferred. "TPP" is used to refer to a thermoplastic polymer of the invention. Colorant is used to describe any pigment or dye used to color a  
20 substance. The colorant is preferably not a sublimation dye. The term "release" indicates a 50-100% transfer of the colorant composition from the substrate to the receptor element. Preferably "release" is 65-100% transfer, more preferably 70-100%.

25 The transferable colorant composition preferably adheres to fibrous supports, polymer films (e.g. polyethylene), and optionally to glassy or metal supports. Additionally, there should be color saturation (significant color gain relative to the  
30 original image), good resolution and edge definition with little or no degradation as a result of the transfer. That is, color saturation, in the transfer, should be equal to or greater than the color saturation found in the original image. The transferable colorant  
35 composition should have good transferability, soft hand, and washability (e.g., preferably 3, more

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preferably 5+ washes). Hand is defined as the texture of a fabric as perceived by touch or manual manipulation. Soft hand is a texture, or perceived feel by hand, which is similar to, or identical to the original substrate or material.

The transferable colorant composition of the invention should also be capable of transfer from the substrate and adhere to a receptor element without the requirement of a separate surface adhesive layer. Without being bound by any theory, upon back surface heating of the substrate, the transferable colorant composition undergoes a solid to solution phase transition resulting in a transfer to the receptor element. Adhesion to the receptor element would occur upon cooling of the transferable colorant composition onto the receptor element. Upon cooling, an image would be transferred onto the receptor element.

Suitable transfer materials include wax dispersions derived from but not limited to natural waxes such as carnauba wax, mineral waxes, montan wax, derivatives of montan wax, petroleum waxes, and synthetic waxes such as polyethylene and oxidized polyethylene waxes. Suitable transfer materials further include compositions comprising materials from U.S. Patent Nos. 5,501,902, 5,271,990 and 5,242,739. The contents of U.S. Patent Nos. 5,501,902, 5,271,990 and 5,242,739 are herein incorporated by reference. These patents are discussed in turn hereinbelow.

The transfer material of the present invention may utilize the materials of the second layer of U.S. Patent No. 5,501,902.

Thus, the transfer material of the present invention preferably includes particles of a thermoplastic polymer having largest dimensions of less than about 50 micrometers, and preferably from about 1 to about 20 micrometers. The particles will more

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preferably have dimensions of from about 2 to about 10 micrometers. In general, the thermoplastic polymer can be any thermoplastic polymer which meets the criteria set forth herein. Desirably, the powdered thermoplastic polymer will be selected from the group consisting of polyolefins, polyesters, and ethylene-vinyl acetate copolymers. However, the thermoplastic polymer preferably excludes tackifiers such as alicyclic hydrocarbons, terpene, or rosin. Tackifiers are heat activated resins used to solidify an image on printed matter. Tackifiers generally refer to convertible polymer systems upon the application of energy, such as heat. Resins and rosins tend to irreversibly harden upon the application of heat energy. Therefore, tackifiers tend to be more like thermosetting materials rather than thermoplastic materials. Therefore, most preferably, the transfer material of the invention includes polymers that are thermoplastic in nature rather than thermosetting-type materials (e.g. thermosetting tackifiers).

The transfer material also includes from about 10 to about 50 weight percent of a film-forming binder, based on the weight of the thermoplastic polymer. Desirably, the amount of binder will be from about 10 to about 30 weight percent. In general, any binder may be employed which meets the criteria set forth herein. When the transfer material of the invention includes a cationic polymer, a nonionic or cationic dispersion or solution may be employed as the binder. The binder desirably will be heat softenable at temperatures of about 120° Celsius or lower.

When the transferable colorant composition is intended to be used as a heat-transfer material, the transfer material will have a melting point of from about 65 to about 180 degrees Celsius. The term "melts" and variations thereof are used herein only in a

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qualitative sense and are not meant to refer to any particular test procedure. Reference herein to a melting temperature or range is meant only to indicate an approximate temperature or range at which a polymer or binder melts and flows under the conditions of a melt-transfer process to result in a substantially smooth film.

Manufacturers' published data regarding the melt behavior of polymers or binders correlate with the melting requirements described herein. It should be noted, however, that either a true melting point or a softening point may be given, depending on the nature of the material. For example, materials such as polyolefins and waxes, being composed mainly of linear polymeric molecules, generally melt over a relatively narrow temperature range since they are somewhat crystalline below the melting point.

Melting points, if not provided by the manufacturer, are readily determined by known methods such as differential scanning calorimetry. Many polymers, and especially copolymers, are amorphous because of branching in the polymer chains or the side-chain constituents. These materials begin to soften and flow more gradually as the temperature is increased. It is believed that the ring and ball softening point of such materials, as determined by ASTM E-28, is useful in predicting their behavior. Moreover, the melting points or softening points described are better indicators of performance than the chemical nature of the polymer or binder.

The transfer material desirably also will contain from about 2 to about 20 weight percent of a cationic polymer, based on the weight of the thermoplastic polymer. The cationic polymer may be, for example, an amide-epichlorohydrin polymer, polyacrylamides with cationic functional groups, polyethyleneimines,

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polydiallylamines, and the like. When a cationic polymer is present, a compatible binder should be selected. The binder desirably will be a nonionic binder, either in the form of a solution or a nonionic  
5 or cationic dispersion or emulsion. As is well known in the paper coating art, many commercially available binders have anionically charged particles or polymer molecules. These materials are generally not compatible with the cationic polymer which may be used in the  
10 present invention.

One or more other components may be used in the transfer material. For example, the transfer material may contain from about 1 to about 20 weight percent of a humectant, based on the weight of the thermoplastic  
15 polymer. Desirably, the humectant will be selected from the group consisting of ethylene glycol and poly(ethylene glycol). The poly(ethylene glycol) typically will have a weight average molecular weight of from about 100 to about 40,000. A poly(ethylene  
20 glycol) having a weight-average molecular weight of from about 200 to about 800 is particularly useful.

The transfer material also may contain from about 0.2 to about 10 weight percent of a fluid (e.g. ink) viscosity modifier, based on the weight of the  
25 thermoplastic polymer. The viscosity modifier desirably will be a poly(ethylene glycol) having a weight-average molecular weight of from about 100,000 to about 2,000,000. The poly(ethylene glycol) desirably will have a weight-average molecular weight of from about  
30 100,000 to about 600,000.

Other components which may be present in the transfer material include from about 0.1 to about 5 weight percent of a weak acid and from about 0.5 to about 5 weight percent of a surfactant, both based on  
35 the weight of the thermoplastic polymer. A particularly useful weak acid is citric acid. The term "weak acid"

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is used herein to mean an acid having a dissociation constant less than one (or a negative log of the dissociation constant greater than 1).

The surfactant may be an anionic, a nonionic, or a cationic surfactant. When a cationic polymer is present in the transfer layer, the surfactant should not be an anionic surfactant.

Desirably, the surfactant will be a nonionic or cationic surfactant. However, in the absence of the cationic polymer, an anionic surfactant may be used, if desired. Examples of anionic surfactants include, among others, linear and branched-chain sodium alkylbenzenesulfonates, linear and branched-chain alkyl sulfates, and linear and branched-chain alkyl ethoxy sulfates. Cationic surfactants include, by way of illustration, tallow trimethylammonium chloride. Examples of nonionic surfactants, include, again by way of illustration only, alkyl polyethoxylates, polyethoxylated alkylphenols, fatty acid ethanol amides, complex polymers of ethylene oxide, propylene oxide, and alcohols, and polysiloxane polyethers. More desirably, the surfactant will be a nonionic surfactant.

Heat transfer of the transferable colorant composition of the present invention may be by any known means, such as by lasers, other infrared emitting devices, a hand-held iron or a heat transfer press. The transfer temperature typically will be from about 80° to about 120° Celsius, preferably from 100° to about 120° Celsius, for from about 5 seconds to about 2 minutes.

Accordingly, the transfer material of the invention may comprise particles of a thermoplastic polymer preferably having largest dimensions of less than about 50 micrometers, preferably from about 1 to



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about 20 micrometers, and more preferably from about 2 to about 10 micrometers, from about 10 to about 50 weight percent of a film-forming binder, based on the weight of the thermoplastic polymer, and from about 0.2 to about 10 weight percent of a viscosity modifier, based on the weight of the thermoplastic polymer.

The transfer material has a melting point of more than 65°C, preferably more than 100°C and more preferably from about 100 to about 180 degrees Celsius.

10 The transfer material may also contain from about 2 to about 20 weight percent of a cationic polymer, based on the weight of the thermoplastic polymer. The transfer material may also contain from about 1 to about 20 weight percent of a humectant, based on the weight of the thermoplastic polymer. The humectant may be (1) ethylene glycol or (2) polyethylene glycol (e.g. having a weight-average molecular weight of from about 100 to about 40,000, preferably about 200 to about 800).

The viscosity modifier may be a polyethylene glycol having a weight average molecular weight of from 100,000 to about 2,000,000, preferably from about 100,000 to about 600,000. The viscosity modifier may be low or high viscosity methyl cellulose or polyvinyl alcohol.

25 The transfer material may also include about 0.1 to about 5 weight percent of a weak acid, based on the weight of the thermoplastic polymer. The transfer layer may also include about 0.5 to about 5 weight percent of a surfactant (e.g. nonionic or cationic), based on the weight of the thermoplastic polymer.

30 The transfer material may further comprise from about 1 to about 20 weight percent of a humectant, based on the weight of the thermoplastic polymer (and optionally from about 0.2 to about 10 weight percent of a fluid (e.g. ink) viscosity modifier, based on the weight of the thermoplastic polymer), and from 0.5 to

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about 5 weight percent of a surfactant, based on the weight of the thermoplastic polymer.

The transfer material of the present invention may also utilize the materials of the image receptive melt-transfer film layer of U.S. Patent 5,271,990.

Thus, the transfer material of the present invention may be comprised of a thermoplastic polymer which melts at above 65°C, preferably above 100°C, and more preferably in the range of from about 100 to about 180 degrees Celsius(°C). In another embodiment, the thermoplastic polymer melts in the range of from about 80°C to 120°C, preferably from 100°C to about 120°C.

In general, any known thermoplastic polymer can be employed so long as it meets the criteria specified herein. Preferably, the thermoplastic polymer is selected from the group consisting of polyolefins, polyesters, and ethylene-vinyl acetate copolymers, having a particle size of less than 50 micrometers, preferably having a particle size of less than 20, and more preferably less than 10 micrometers.

The transfer material of the present invention may also utilize the materials of the image-receptive melt-transfer film layer of U.S. Patent 5,242,739.

Thus, the transfer material may comprise from about 15 to about 80 percent by weight of a film-forming binder selected from the group consisting of ethylene-acrylic acid copolymers, polyolefins, and waxes and from about 85 to about 20 percent by weight of a powdered thermoplastic polymer selected from the group consisting of polyolefins, polyesters, polyamides, waxes, epoxy polymers, ethylene-acrylic acid copolymers, and ethylene-vinyl acetate copolymers, wherein each of said film-forming binder and said powdered thermoplastic polymer melts above about 65°C, preferably above about 100, and more preferably in the

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range of from about 100 to about 180 degrees Celsius and said powdered thermoplastic is preferably of particles which are from about 1 to about 50 micrometers in diameter, preferably about 2 to 50, and  
5 more preferably 1 to about 20 micrometers in diameter.

Accordingly, the transfer material comprises from about 15 to about 80 percent by weight of a film-forming binder and from about 85 to about 20 percent by weight of a powdered thermoplastic polymer. Each of the  
10 film-forming binders and powdered thermoplastic polymers melt above 65°C, preferably above 100°C, and more preferably in the range of from about 100 to about 180 degrees Celsius (°C). In addition, the powdered thermoplastic polymer is preferably composed of  
15 particles having diameters of about 50 micrometers, more preferably from about 2 to 50 micrometers, and most preferably from about 1 to about 20 micrometers.

In other embodiments, each of the film-forming binders and powdered thermoplastic polymers melt in the  
20 range from 80°C to above 120°C, preferably in the range of from about 100°C to about 120°C.

A function of the powdered thermoplastic polymer is to assist in the transferring of the colorant composition to a fabric, both in terms of ease of  
25 transfer and the permanence of the transferred image. Additionally, the thermoplastic polymer, when combined with the colorant, provides a more homogenous ink.

The nature of the film-forming binder is not known to be critical. That is, any film-forming binder can be  
30 employed so long as it meets the criteria specified herein. In preferred embodiments, the film-forming binder has, at the transfer temperature, a lower melt viscosity than the powdered thermoplastic polymer. As a practical matter, water-dispersible ethylene-acrylic

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acid copolymers have been found to be especially effective film forming binders.

Accordingly, the transfer material may comprise a thermoplastic polymer selected from the group  
5 consisting of polyolefins, polyesters, and ethylene-vinyl acetate copolymers and which melts above 65°C, and preferably above 100°C, and more preferably in the range of from about 100 to about 180 degrees Celsius, and most preferably in the range of about 100 to about  
10 120 degrees Celsius. The thermoplastic polymers used in the formulations of the invention can be any thermoplastic polymer derived from a group including but not limited to polyolefins, polyesters, polyethylene, oxidized polyethylene, polyacrylates,  
15 ethylene-acrylate and ethylene-vinyl acetate copolymers. The thermoplastic polymer should have a softening point ranging from 70° to 150°C, but preferably 108°C. Additionally, chemically modified thermoplastic polymers may be added to bind polar dyes  
20 for the same application.

The powdered thermoplastic polymers, upon melting, flow partially into the fiber matrix of the fabric to which an image is being transferred. The result is a fabric having an image which does not render the fabric  
25 stiff. Moreover, the image itself is neither rubbery nor rough to the feel and is stable to repeated washings.

As a general rule, the amount of powdered thermoplastic polymer employed can be reduced if larger  
30 particle sizes are employed.

If desired, the transfer material can contain other materials, such as processing aids, release agents, pigments, deglossing agents, antifoam agents, and the like. The use of these and other like materials  
35 is well known to those having ordinary skill in the art. However, organic solvents such as toluene and

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methy1 isobutyl ketone should be excluded from transferable colorant compositions of the present invention. Toluene, for example, is a known vehicle for ink. However, toluene should be specifically excluded  
5 from the present invention because (1) it is a known carcinogen and toxic substance, and (2) it is incompatible with water-dissolved polymers and colorants used in the present invention.

Representative binders and powdered thermoplastic  
10 polymers are as follows:

#### Binder A

Binder A is Michem® 58035, supplied by Michelman, Inc., Cincinnati, Ohio. This is a 35 percent solids  
15 dispersion of Allied Chemical's AC 580, which is approximately 10 percent acrylic acid and 90 percent ethylene. The polymer reportedly has a softening point of 102°C and a Brookfield viscosity of 0.65 pas (650 centipoise) at 140°C.

20

#### Binder B

This binder is Michem® Prime 4983R (Michelman, Inc., Cincinnati, Ohio). The binder is a 25 percent solids dispersion of Primacor® 5983 made by Dow Chemical Company. The polymer contains 20 percent  
25 acrylic acid and 80 percent ethylene. The copolymer has a Vicat softening point of 43°C and a ring and ball softening point of 100°C. The melt index of the copolymer is 500 g/10 minutes (determined in accordance with ASTM D-1238).

30

#### Binder C

Binder C is Michem® 4990 (Michelman, Inc., Cincinnati, Ohio). The material is 35 percent solids dispersion of Primacor® 5990 made by Dow Chemical Company. Primacor® 5990 is a copolymer of 20 percent  
35 acrylic acid and 80 percent ethylene. It is similar to

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Primacor® 5983 (see Binder B), except that the ring and ball softening point is 93°C. The copolymer has a melt index of 1,300 g/10 minutes and Vicat softening point of 39°C.

5 Binder D

This binder is Michem® 37140, a 40 percent solids dispersion of a Hoechst-Celanese high density polyethylene. The polymer is reported to have a melting point of 100°C.

10 Binder E

This binder is Michem® 32535 which is an emulsion of Allied Chemical Company's AC-325, a high density polyethylene. The melting point of the polymer is about 138°C. Michem® 32535 is supplied by Michelman, Inc., Cincinnati, Ohio.

## Binder F

Binder F is Michem® 48040, an emulsion of an Eastman Chemical Company microcrystalline wax having a melting point of 88°C. The supplier is Michelman, Inc., Cincinnati, Ohio.

## Binder G

Binder G is Michem® 73635M, an emulsion of an oxidized ethylene-based polymer. The melting point of the polymer is about 96°C. The hardness is about 4-6 Shore-D. The material is supplied by Michelman Inc., Cincinnati, Ohio.

### Powdered Thermoplastic Polymer A

30 This powdered polymer is Microthene® FE 532, an ethylene-vinyl acetate copolymer supplied by Quantum Industries, Cincinnati, Ohio. The particle size is reported to be 20 micrometers. The Vicat softening point is 75°C and the melt index is 9 g/10 minutes.

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## Powdered Thermoplastic Polymer B

Powdered Thermoplastic Polymer B is Aqua Polysilk 19. It is a micronized polyethylene wax containing some polytetrafluoroethylene. The average particle size is 18 micrometers and the melting point of the polymer is 102°-118°C. The material is supplied by Micro Powders, Inc., Scarsdale, N.Y.

## 10 Powdered Thermoplastic Polymer C

This material is Microthene® FN-500, a low density polyethylene powder supplied by USI Chemicals Co., Cincinnati, Ohio. The material has a particle size of 20 micrometers, a Vicat softening point of 83°C, and a melt index of 22 g/10 minutes.

## Powdered Thermoplastic Polymer D

This polymer is Aquawax 114, supplied by Micro Powders, Inc., Scarsdale, N.Y. The polymer has a reported melting point of 91°-93°C and an average particle size of 3.5 micrometers; the maximum particle size is stated to be 13 micrometers.

## Powdered Thermoplastic Polymer E

25 Powdered Thermoplastic Polymer E is Corvel® 23-9030, a clear polyester from the Powder Coatings Group of the Morton Chemical Division, Morton Thiokol, Inc., Reading, Pa.

## 30 Powdered Thermoplastic Polymer F

This material is Corvel® natural nylon 20-9001, also supplied by Morton Thiokol, Inc.

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## Powdered Thermoplastic Polymer G

This polymer powder is Corvel® clear epoxy 13-9020, supplied by Morton Thiokol, Inc.

## 5 Powdered Thermoplastic Polymer H

Powdered Thermoplastic Polymer H is AClyn® 246A, which has a melting temperature of about 95°C as determined by differential scanning calorimetry. The polymer is an ethylene-acrylic acid magnesium ionomer.  
10 The material is supplied by Allied-Signal, Inc., Morristown, N.J.

## Powdered Thermoplastic Polymer I

This polymer is AC-316A, an oxidized high density  
15 polyethylene. The material is supplied by Allied Chemical Company, Morristown, N.J.

## Powdered Thermoplastic Polymer J

This polymer is Texture 5380, supplied by Shamrock  
20 Technologies, Inc., Newark, N.J. It is powdered polypropylene having a melting point of 165°C and an average particle size of 40 micrometers.

The binders and thermoplastic polymers may be  
25 combined and blended as desired. For example, Binder A (e.g. 80 parts) may be blended with powdered thermoplastic polymer A (e.g. 80 parts) and optionally with a fluorocarbon dispersion such as Zonyl 7040 (e.g. 0.20 parts) obtained from duPont. Another example  
30 includes combining Binder B (e.g. 400 parts) and Polymer B (e.g. 70 parts) and blending in a standard laboratory colloid mill. Also, Binder A (e.g. 286 parts) may be combined with Polymer C (e.g. 65 parts). Binder B (e.g. 400 parts) may be combined with Polymer  
35 D (e.g. 70 parts). Binder C (e.g. 200 parts) may be combined with Polymer E (e.g. 35 parts) and optionally



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with propylene glycol (e.g. 20 parts) and water (e.g. 20 parts). Similarly, Binder C (e.g. 200 parts) may be combined with Polymer F (e.g. 54 parts) and optionally with propylene glycol (e.g. 20 parts) and water (e.g. 20 parts). Also, Binder A (e.g. 200 parts) may be combined with Polymer G (e.g. 30 parts) and optionally with propylene glycol (e.g. 20 parts) and water (e.g. 20 parts). Binder D (e.g. 200 parts) may be combined with Polymer H (e.g. 30 parts) and optionally water (e.g. 40 parts) and blended. Binder A (e.g. 286 parts) may be combined with Polymer J (e.g. 40 parts) and optionally with propylene glycol (e.g. 50 parts).

In the transferable colorant composition of the present invention, various dyes or pigments generally used in the field of printing and recording may be used as the colorant. Colorants, for the purposes of this invention, include all inks, dyes, and pigments used in printing, imaging, and/or craft applications. Thus, colorants include, but are not limited to azo dyes, anthraquinone, food coloring dyes, cyclic or polycyclic aromatic compounds, organic and inorganic colorants, and metallic compounds. Examples of colorants include ink jet inks, finger paints, and watercolors. However, the colorants of the present invention are not heat-activated or sublimated during the transfer process. Accordingly, the transferable colorant composition preferably does not contain heat-activated or sublimation dyes.

Specific examples of the colorant may be one or more of known dyes or pigments such as Carbon black, Pearl All-Surface paint, LUMA water colors, LeFranc & Bourgeois Flashe vinyl colors paint, Winsor & Newton Designers Gouache. The colorants used in the present invention may include dyes and pigments that can be water dissolved or dispersed with or without a polymer additive. Companies that manufacture these colorants

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include BASF, Sun Chemical, Flint Ink, Aldrich, DuPont, Ilford, Eastman Kodak, Hewlett Packard, Canon (and other ink jet printer manufacturers), Xerox, ArtistColor, Koh-I-Noor, Higgins, Pearl, Rotring, 5 Yarka, Hansa, Luma, Lefranc & Bourgeois, Crayola, and Windsor & Newton.

The wax dispersion provides the colorant with its ability to flow from the substrate to the receptor. Ultimately the wax dispersion provides the adhesion of 10 the colorant to the receptor. In another embodiment of the invention, in addition to helping provide a more homogeneous ink, a thermoplastic polymer is added along with the wax to provide water resistance, which enhances the washability of the colorant on the 15 receptor.

The transferable colorant composition application method requires an application device and a substrate that will accept the colorant composition. Application devices include but are not limited to paintbrushes, 20 rubberstamps, ink dispensing writing tools, ink-jet printers, and bubble-jet printers. Substrates include but are not limited to laser copier acetate, write-on acetate, ink-jet acetate, plain copier paper, and card stock paper.

25 The function of the substrate is to provide a surface that will provide ink adhesion as well as 50-100% release, preferably 70-100% release, and most preferably 90-100% release. Fibrous substrates tend to absorb large amounts of the transferable colorant 30 composition without providing adequate release. Plastic-like substrates provide adequate release characteristics, but do not always provide adequate ink adhesion. Apollo Laser Copier Acetate (Apollo Presentation Products, Ronkonkoma, N.Y.) and Apollo 35 Write-On Acetate display the best transfer characteristics, providing even transfer and soft hand.

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The only noticeable drawback to the Apollo Write-On Acetate is the low melting point. The acetate melted at the same temperature as the colorant composition. This problem is resolved by placing an intermediate sheet (e.g. plain paper) between the acetate and a transfer device such as a handheld iron or a heat press.

Another suitable substrate includes a support coated with the second layer disclosed in U.S. Patent 5,798,179, but without the third and fourth layers disclosed therein. Similarly, another suitable substrate is a material comprising the support ("substrate") and barrier layer disclosed in copending provisional application 60/127,625 to Williams et al. filed on April 1, 1999, but without the release layer and image receiving layer disclosed therein. Still another suitable substrate is disclosed in copending provisional application no. 60/130,500 to Williams filed on April 23, 1999, and in copending provisional application no. 60/133,861 to Williams filed on May 12, 1999. Specifically, suitable substrates include the support ("substrate") and barrier layer of these provisional applications, but without the release layer, optional image receiving layers, and/or optional antistatic layers. All of these sheets have the advantage that the transferable colorant composition of the invention should not penetrate into the paper stock due to the presence of the barrier layer. These sheets should allow virtually all of the transferable colorant composition to transfer to the receptor element.

Representative acetates are as follows:

#### Substrate A

3M Write-On Transparency Film is a clear film manufactured by 3M that is used with transparency marking pens.

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## Substrate B

Apollo Write-On Transparency Film is a clear film manufactured by Apollo that is used with transparency marking pens.

5

## Substrate C

Apollo Laser Copier Transparency Film is a clear film manufactured by Apollo that is used with most laser printers.

10

## Substrate D

Apollo Ink Jet Transparency Film is a clear film manufactured by Apollo that is used with most Hewlett Packard ink jet printers.

15

## Substrate E

Hewlett Packard Glossy Photo Paper is a gloss coated fibrous paper manufactured by Hewlett Packard that is used with most Hewlett Packard ink jet printers.

20

## Substrate F

Union Camp Frankote 12pt Coated Cover is a gloss coated fibrous paper manufactured by Union Camp that I used in offset lithography applications.

25

## Substrate G

Wausau 60lb Card Stock is a heavy fibrous paper manufactured by Wausau that is used in a variety of applications.

30

## Substrate H

Plain Copy Paper is a fibrous paper used in a variety of applications.

35

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One preferred application of this invention is for the transferable colorant composition to be applied with a brush using methods such as freehand painting, a stencil, tracing, and painting-by-numbers. In this  
5 embodiment, the transferable colorant composition could be packaged in a crayon-type set (e.g. a kit), or as a mixture where colorant and/or water is added upon use. Optionally, the mixture may be packaged in a concentrated form. The concentrated form may be a paste  
10 or gel. An example of a concentrate (paste or gel) can be made by the following formulation: 1 part Michem Emulsion 73635, and 1 to 3 parts thermoplastic polymer/colorant mixture. This formulation range may be modified as necessary to form a concentrate of the  
15 consistency of "toothpaste."

The kit may comprise the transferable colorant composition of the invention, an application means for applying the colorants to a substrate (e.g. paintbrush, pen), and optionally substrate sheets and/or receiving  
20 elements.

Another embodiment of this invention is for the transferable colorant composition to be applied with an ink-jet printer. In this application, the transferable colorant composition is applied to the substrate by the  
25 printer and printing the image onto the substrate.

Another embodiment of this invention is for the transferable ink to be applied with a pen (e.g. a "paint pen") or rubberstamp.

In another embodiment of the invention, the transferable composition is directly applied onto the  
30 receptor element. Then, the composition is driven into the receptor element with an iron, wherein a non-stick sheet (i.e. silicon sheet) is inserted between the iron and the face of the receptor element containing the  
35 composition prior to ironing. Since the transferable

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composition is capable of surviving washing, the composition may be used directly as a paint.

A transferable colorant composition of the invention may be prepared as follows: a thermoplastic  
5 polymer that meets the criteria set forth herein is combined with a colorant to provide a homogenous composition. Water is measured into a beaker. The colorant is suspended in the water by stirring until the colorant is dispersed in the water. The  
10 thermoplastic polymer is added to the water. The thermoplastic polymer repels the water while attracting the colorant particles. Once the thermoplastic polymer and colorant particles are completely combined, the mixture is filtered (i.e. poured through a Whatman #1  
15 filter or a comparable paper filter). The supernatant is then collected and the thermoplastic polymer and colorant are allowed to thoroughly dry to form a homogenous mixture. One (1) to three (3) parts (by volume) Michem® 73653M wax dispersion, which meets the  
20 criteria set forth herein, is mixed with one (1) part colorant/ thermoplastic polymer, as described, for instance, in Formulation 2, until a homogenous mixture is obtained. The emulsion wax and colorant/thermoplastic polymer are stirred (i.e. with a  
25 spatula for approximately one minute to break down any large particles, then placed in a beaker with a stirring flea on a stirring plate) until a homogenous mixture is obtained.

The following examples are provided for a further  
30 understanding of the invention, however, the invention is not to be construed as being limited thereto.

#### Example 1

A transferable ink of the invention is prepared as  
35 follows: one part (weight) Microthene FE 532-00 (a

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thermoplastic polymer) is combined with 0.25 to 1.5 parts (weight) Sunfast Blue 249-2083 (a colorant) to provide a homogenous composition. Water is measured into a beaker. The Sunfast Blue 249-2083 colorant is  
5 suspended in the water by rapid stirring until the colorant is dispersed in the water.

## Formulation 1

10	<u>Colorant/TPP Compound</u>	
	<u>Components</u>	<u>Parts</u>
15	Colorant (Sunfast Blue 249-2083, Sun Chemical)	0.25 to 1.5 parts (weight)
	TPP (Microthene FE 532-00, Quantum)	1 part (weight)
20	<u>Water</u>	<u>4 parts (volume)</u>

Microthene FE 532-00 is slowly added to the water. The thermoplastic polymer repels the water while attracting the colorant particles. Once the Microthene  
25 FE 532-00 and Sunfast Blue 249-2083 are completely combined, the mixture is poured through a Whatman #1 filter or a comparable paper filter. The supernatant is then collected and the Microthene FE 532-00 and Sunfast Blue 249-2083 are allowed to thoroughly dry to form a  
30 homogenous mixture. One (1) to three parts (3) (by volume) Michem® 73635M wax dispersion, which meets the criteria set forth herein, is mixed with one (1) part colorant/thermoplastic polymer, as described in Formulation 2, until a homogenous mixture is obtained.

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## Formulation 2

Transferable Ink Mixture

5	<u>Components</u>	<u>Parts</u>
	Colorant/TPP Mixture	1 to 3 parts (weight)
10	Wax Dispersion (Michem 73635M, Michelman)	1 part (volume)

The emulsion wax and colorant/thermoplastic polymer are stirred (i.e. with a spatula for approximately one minute to break down any large  
15 particles, then placed in a beaker with a stirring flea on a stirring plate) until they form a homogenous solution.

The prepared transferable colorant composition is more viscous than water and has less surface tension  
20 than water.

EXAMPLE 2

To apply the transferable colorant composition to the substrate, a paint brush is coated with the  
25 transferable colorant composition. The transferable colorant composition is then applied to the substrate. The composition is then allowed to dry completely. Referring to Figure 1, there is generally illustrated the ink transfer procedure. In step 1, the substrate  
30 is placed colorant composition 10 side against a receptor element 30. Acetate is used as the substrate 20. Optionally, a plain sheet of paper is placed over the acetate substrate to prevent the substrate from melting. A transfer device (e.g. hand iron or a heat  
35 press) is used to apply heat to the substrate (step 2) which in turn releases the colorant composition 10. The temperature transfer range of the hand iron is 100° to 200°C with 110°C being the preferred temperature. The heat press operates at a temperature transfer range of



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100°C to 220°C with 190°C being the preferred temperature. The hand iron, when used as the transfer device, is placed over the non-colorant composition side (i.e. the back side) of the substrate and moved in  
5 a circular motion. Slight pressure is applied as the heating device is moved over the substrate 20. After 30 seconds of heat and pressure, the transfer device is removed from the substrate. The substrate 20 is then peeled away from the receptor 30 (step 3). The image is  
10 allowed to cool, which provides for proper image setting.

#### EXAMPLE 3

This Example evaluates various ratios of wax to  
15 colorant/TPP. Although different ratios of wax to colorant/TPP were able to transfer, 1.25 to 1.5 parts wax to 1 part colorant/TPP provides the best transfer results.

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Evaluation of Transferability between  
Various Mixture Ratios

(3M Write-on Acetate, 1.5 mil coat)

5

Mixture Ratio Wax:Colorant/TPP	Transfer Device	Transferability	Hand
1:1	Hand Iron (110°C)	Slightly uneven transfer, ~50% release	Rough, plastic feel
1:1	Heat Press (190°C)	Slightly uneven transfer, ~50% release	Rough, plastic feel
1.25 : 1	Hand Iron (110°C)	Good, even transfer, ~85% release	Soft, plastic feel
1.25 : 1	Heat Press (190°C)	Slightly uneven transfer, ~80% release	Soft, plastic feel
1.5 :1	Hand Iron (110°C)	Slightly uneven transfer, ~75% release	Slightly Rough
1.5 :1	Heat Press (190°C)	Good, even transfer, ~80% release	Slightly Rough
2:1	Hand Iron (110°C)	Uneven transfer, ~60% release	Rough, plastic feel
2:1	Heat Press (190°C)	Even transfer, ~75% release	Slightly Rough
3:1	Hand Iron (110°C)	Uneven transfer, ~40% release	Rough
3:1	Heat Press (190°C)	Good, even transfer, ~70% release	Soft

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The 1:1 ratio has the relatively least desirable results due to poorer ink release from the substrate as well as poorer adhesion to the receptor. The higher concentrated wax mixtures are unable to transfer as evenly due to poorer release characteristics. The 1.25 and 1.5 mixtures allow up to 85% of the ink mixture to release from the substrate, which is distributed evenly across the receptor. The even distribution of ink provides a uniform surface on the receptor which gives the ink a softer hand.

#### EXAMPLE 4

This Example evaluates various substrates. The function of the substrate is to provide a surface that will provide ink adhesion as well as, for instance, 65-100% release. Plastic-like substrates provide adequate release characteristics, but do not always provide adequate ink adhesion.

Although the Apollo laser copier acetate and write-on acetate displayed the best transfer characteristics, providing even transfer and soft hand, the acetate melted at the same temperature as the ink. To remedy this problem, an intermediate sheet of plain paper is placed between the acetate and the transfer device. A plain sheet of paper is thus optionally used with all other acetates as a precautionary measure.

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Evaluation of Transferability  
Between Various Substrates

Substrate	Transfer Device	Transferability	Hand
3M Write-On Acetate	Hand Iron (110°C)	Good, even transfer, ≈80% release	Soft, fair fabric penetration
3M Write-On Acetate	Heat Press (190°C)	Good, even transfer, ≈85% release	Soft, fair fabric penetration
Apollo Write-On Acetate	Hand Iron (110°C)	Very good, even transfer, ≈85% release	Soft, good fabric penetration
Apollo Write-On Acetate	Heat Press (190°C)	Excellent, even transfer, ≈90% release	Soft, excellent fabric penetration
Apollo Laser Copier Acetate	Hand Iron (110°C)	Very good, even transfer, ≈80% release	Soft, good fabric penetration
Apollo Laser Copier Acetate	Heat Press (190°C)	Very good, even transfer, ≈85% release	Soft, very good fabric penetration
Apollo Ink Jet Acetate	Hand Iron (110°C)	Uneven transfer, ≈60% release	Rough, less fabric penetration
Apollo Ink Jet Acetate	Heat Press (190°C)	Good, even transfer, ≈75% release	Soft, fair fabric penetration
HP Glossy photo paper	Hand Iron (110°C)	Uneven transfer, ≈30% release	Soft, less fabric penetration
HP Glossy photo paper	Heat Press (190°C)	Good, even transfer, ≈65% release	Soft, less fabric penetration
Union Camp Frankote	Hand Iron (110°C)	Slightly uneven transfer, ≈70% release	Soft, good fabric penetration
Wausau Card Stock	Hand Iron (110°C)	Slightly uneven transfer, ≈65% release	Slightly rough, fair fabric penetration

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Substrate	Transfer Device	Transferability	Hand
Wausau Card Stock	Heat Press (190°C)	Very good, even transfer, ≈70% release	Soft, very good fabric penetration
Plain Copier Paper	Hand Iron (110°C)	Good, even transfer, ≈75% release	Soft, good fabric penetration
Plain Copier Paper	Heat Press (190°C)	Very good, even transfer, ≈75% release	Soft, excellent fabric penetration

#### EXAMPLE 5

5 Referring to Figure 2, a method of transferring the colorant composition to a receptor element will be described. More specifically, Figure 2 illustrates how the step of heat transfer of the colorant composition from the substrate (50) to a tee shirt or fabric (62) is performed.

10 The transferable colorant composition is prepared, and is applied to the substrate as in Examples 1-3. A tee shirt (62) is laid flat, as illustrated, on an appropriate support surface, and the front surface of the substrate (50) is positioned onto the tee shirt. A sheet of plain copy paper is optionally placed over the substrate for precautionary measures. A household iron (64) set at its highest heat setting is run and pressed across the back (52A) of the substrate. The colorant composition is transferred to the tee shirt and the support is removed and discarded.

#### EXAMPLE 6

25 This example evaluates various combinations of wax binders. Michem® wax Emulsion 58035 and Michem® Prime 4983R are used as the transfer materials. The following colorants are tested:

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Paint A. Pearl All-Surface Paint is a permanent water based fabric paint manufactured by Pearl that can be used on a wide variety of substrates.

Paint B. Luma Brilliant Concentrated Water Color  
5 is a concentrated ink manufactured by Luma that can be diluted in water and used on a wide variety of substrates.

Paint C. Lefranc & Bourgeois Vinyl Colors is a vinyl based paint manufactured by Lefranc & Bourgeois  
10 that can be thinned in water and used on a variety of substrates.

Paint D. Windsor & Newton Designers Gouache is a gum arabic and pigment based paint manufactured by Windsor & Newton that can be used on a wide variety of  
15 substrates.

The mixtures appearing in the Table below are applied to transparent acetate substrates, unsized copy paper, and sized paper by dipping a natural bristle paintbrush into the paint mixture. A Meyer rod was used  
20 to apply thinner coatings (0.4-1.5 mils) onto the substrates. All coatings were allowed to air dry for 10 minutes prior to transfer.

Transfer is successful upon application of heat and pressure. Both a heat press and a hand iron  
25 (individually) are used and obtained similar results. 80-100% of coatings transfers from acetate substrate to T-shirt receptor element (50/50 blend) upon applying 180°C for 20 seconds with high, constant pressure from the back surface of the substrate. Sized paper releases  
30 approximately 50% of its coating upon transfer. Although the transfer is less than 100%, the color and texture remained satisfactory. Unsized copy paper transfers approximately 60%-75% of the coating, which is adequate.

35 Coating color intensity remains unchanged during the transfer process. When higher transfer temperatures

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are used, a color shift occurs in paints due to instability in the pigments.

A standard machine wash using a normal cycle is followed using TIDE® detergent to determine washability. About 10% color loss occurs during washing. All transferred samples retain edges during wash, felt soft to the touch, and return to their original position after being pulled.

All mixtures displayed ease of coating, acceptable color intensity, and excellent wash characteristics when 66% - 84% of Binder A is added to 8% - 17% paint, and 8%-17% Binder B.

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<u>Paint</u>	<u>% 58035</u>	<u>% 4983R</u>	<u>% Paint</u>	<u>Results and Observations</u>
A	66.7	16.6	16.7	Shiny liquid. Opaque. 95% transfer, excellent wash.
A	83.3	8.3	8.4	Transparent hue. Bubbles upon coating. Solidifies. Less edge retention. 100% transfer, excellent wash.
A	83.3	0	16.7	Opaque. Lower viscosity. Does not solidify as thoroughly. Less edge retention. 100% transfer. Loses 15-20% when washed.
A	0	16.6	83.4	Slight transparency. 50% transfer. Loses 15% in wash.
C	66.7	16.6	16.7	Similar viscosity to unaltered paint. Excellent hue, chroma production. Opaque. Solidifies after 2 minutes. 100% transfer.
C	83.3	8.3	8.4	Bubbles form upon application, less edge retention. 80% transfer.
B	83.3	8.3	8.4	Less color intensity. 100% transfer.
D	83.3	8.3	8.4	Less color strength. 90% transfer. Good hand. No cracking. No peeling.

EXAMPLE 7

- 5 In this example, a transferable colorant mixture is made without Binder B as described in Example 6, above. A TPP which meets the criteria set forth in the specification is used to enhance water resistance upon transfer. 50% (w/w) of Microthene Powder FE 532 is
- 10 added to 50% Michem wax Emulsion 58035 (40g total). 4ml of Paint B are added, and optionally allowed to mix in a stir plate, followed by 5 minutes mixing by a sonic



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dismembrating horn. Upon painting and drying, the paint is a powder texture on the top from the TPP. After the above-discussed transfer techniques are followed, 80% to 90% transfer results.

5

#### EXAMPLE 8

A transferable colorant composition is prepared in accordance with Example 1 using a mixture of Michem® 58035 and Michem® Prime 4983 as the wax dispersion (binder). Both materials are available from Michelman, Inc., Cincinnati, Ohio. A ratio of four or five to one of 58035 to 4983 is used. The basis weight of the melt-transfer layer is 8 g/m<sup>2</sup>. Michem® 58035 is a 35 percent solids dispersion of Allied Chemical's AC 580, which is approximately 10 percent acrylic acid and 90 percent ethylene. The polymer reportedly has a softening point of 102°C. and a Brookfield viscosity of 0.65 Pas (650 centipoise) at 140°C. Michem® Prime 4983 is a 25 percent solids dispersion of Primacor®5983 made by Dow Chemical Company. The polymer contains 20 percent acrylic acid and 80 percent ethylene. The copolymer has a Vicat softening point of 43°C. and a ring and ball softening point of 100°C. The melt flow rate of the copolymer is 500 g/10 minutes.

When the thermoplastic binder and/or the binder are the variables, the cationic polymer in every case is an amide-epichlorohydrin copolymer, namely, either Kymene® 557 or Reten® 204LS, both being supplied by Hercules Inc., Wilmington, Del. The cationic polymer is included at a level of 5 weight percent, based on the weight of the thermoplastic polymer.

In general, a minimum amount of binder is used. For example, 10 weight percent of a polyacrylate, Rhoplex® B-15 (Rohm and Haas Company) may be used. Another binder which may be used at the 10 weight

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percent level is Michem<sup>®</sup> 58035, described above. The binder must be compatible with the cationic polymer. Two binders which are more compatible with the cationic polymer and which yellow less than the Michem<sup>®</sup> 58035 are Airflex<sup>®</sup> 124 and Airflex<sup>®</sup> 125, both poly(vinyl alcohol) stabilized ethylene-vinyl acetate copolymers. The materials are available from Air Products and Chemicals, Inc., Allentown, Pa.

Several thermoplastic polymers may be used including Microthene<sup>®</sup> FE 532, an ethylene-vinyl acetate copolymer supplied by USI Chemicals Co., Cincinnati, Ohio. The particle size is reported to average approximately 20 micrometers. The Vicat softening point is 75°C. The melt flow rate of the copolymer is 9 g/10 minutes and it is reported to have a density of 0.928 g/cm<sup>3</sup>. Another thermoplastic polymer is Microthene<sup>®</sup> FN 500, a low density polyethylene powder also supplied by USI Chemicals Co. The material has an average particle size of 20 micrometers, a Vicat softening point of 83°C., a melt flow rate of 22 g/10 minutes, and a density of 0.915 g/cm<sup>3</sup>.

The composition is applied to a substrate, allowed to dry, and transferred as in Example 3.

25

EXAMPLE 9

Example 8 is repeated, but using the following thermoplastic polymers:

## Thermoplastic Polymer A

30

This polymer is Microthene<sup>®</sup> FE 532.

## Thermoplastic Polymer B

This material is Microthene<sup>®</sup> FN-500.

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## Thermoplastic Polymer C

Thermoplastic Polymer C is Corvel® 2093. It is a polyester. The average particle size is 20 micrometers, the melting point of the polymer is approximately 80°C., and the melt flow rate is reported to be "high". The material is supplied by Powder Coatings Group of the Morton Chemical Division, Morton Thiokol, Inc., Reading, Pa.

10

## Thermoplastic Polymer D

This polymer is MP 22, a micronized polyethylene wax from Micro Powders, Inc., Scarsdale, N.Y. It has a reported melting point of 104°C, an average particle size of 4 micrometers, and a "high" melt flow rate.

15

## Thermoplastic Polymer E

Thermoplastic Polymer E is MPP 611 is also from Micro Powders, Inc. It has a reported melting point of 110°C, an average particle size of 6 micrometers, and a "high" melt flow rate.

20

## Thermoplastic Polymer F

This material is MPP 635, also a polyethylene supplied by Micro Powders, Inc. The average particle size of the polymer is 5 micrometers, the melting point is reported to be 124, and the melt flow rate is "high".

25

## Thermoplastic Polymer G

This polymer is Accumist® B6, supplied by Allied Chemical Company, Morristown, NJ. The polymer is a polyethylene having a melting point of 126°C. The average particle size of the polymer is 6 micrometers and the melt flow rate is "high."

30

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## Thermoplastic Polymer H

Thermoplastic Polymer H is Accumist® B12, also supplied by Allied Chemical Company. The polymer is a high density polyethylene having a melting point of 5 126°C. The average particle size of the polymer is 12 micrometers.

## Thermoplastic Polymer I

This polymer is DPP 714, a polystyrene dispersion 10 supplied by Dow Chemical Company, Midland, Mich.

## Thermoplastic Polymer J

This material is Piccotex® LC55R, a styrene-methyl styrene copolymer dispersion supplied by Hercules, Inc. 15

## Thermoplastic Polymer K

Thermoplastic Polymer K is DL 256, a polystyrene dispersion also supplied by Dow Chemical Company.

## 20 Thermoplastic Polymer L

This polymer is BN 4901X, a polystyrene dispersion available from BASF Corporation, Sarnia, Ontario, Canada.

## 25 Thermoplastic Polymer M

This material is Ropaque®, a polystyrene dispersion supplied by Rohm and Haas Company, Philadelphia, Pa.

30 Four different binders are used:

## Binder A

Binder A is Carboset® 514H, a polyacrylate binder dispersed in water, supplied by B.F. Goodrich Company, 35 Cleveland, Ohio.

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## Binder B

This binder is Rhoplex® B15, described in Example  
8.

5

## Binder C

Binder C is Michem® 58035.

## Binder D

This binder is Marklube® 542, a cationic low  
10 density polyethylene emulsion from Ivax Industries,  
Inc., Rock Hill, S.C.

The composition of the transfer material is  
summarized in Table 1 below. In the Table, the "TPP"  
15 column identifies the thermoplastic polymer by letter.  
The letter corresponds to the TPPs listed in this  
Example, and does not correspond to the lettered TPPs  
as previously described. The "Type" column identifies  
the binder by letter, and basis weights are given in  
20 g/m<sup>2</sup>. The letters correspond to the binders listed in  
this Example, not the binders listed in the  
Specification as previously described.

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TABLE 1

Summary of Transfer Material Composition  
with Various Thermoplastic Polymers

	TPP	Binder		Basis Weight
		Type	Wt. %	
5	A	A	10	21
	A	B	10	23
	A	C	10	23
	A	C	20	23
10	B	C	50	31
	B	C	10	23
	C	C	10	32
	D	C	10	30
	E	C	10	23
15	E	C	12.5	28
	E	C	12.5	8
	E	C	12.5	13
	F	C	10	23
	F	C	12.5	13
20	F	C	18	11
	F	C	20	13
	F	D	25	13
	G	C	18	13
	H	C	18	13
25	I	C	10	17
	J	C	10	17
	K	C	10	8
	L	C	10	8
	M	C	10	8
30	M	C	30	8
	M	C	40	8

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EXAMPLE 10

This Example evaluates various cationic polymers. Two types of transfer materials are employed, in which the cationic polymer is included as a component. Type A consists of Microthene® FE 532 (Thermoplastic Polymer A), 13 weight percent of Michem® 58035 binder (Binder C), based on the weight of the thermoplastic polymer, 1 weight percent Triton® X-100 surfactant, and the cationic polymer. The basis weight of the layer is 15 g/m<sup>2</sup>. The type B material consists of MPP 635 (Thermoplastic Polymer F), 18 weight percent of Michem® 58035 binder (Binder C), based on the weight of the thermoplastic polymer, 1 weight percent Triton® X-100 surfactant, and the cationic polymer. The basis weight of the layer is 13 g/m<sup>2</sup>. The various cationic polymers evaluated are as follows:

## Cationic Polymer A

Cationic Polymer A is Kymene® 557, an amide-epichlorohydrin copolymer available from Hercules, Inc.

## Cationic Polymer B

This polymer is Calgan® 261LV, a quaternary polymer. It is available from Calgon Corporation.

## Cationic Polymer C

This material is Corcat® P145. It is a polyethyleneimine supplied by Cordova Chemical Company.

## Cationic Polymer D

Cationic Polymer D is Parex® 631NC, a polyacrylamide available from American Cyanamide.

## Cationic Polymer E

This material is Betz® 1260. It is obtained from Betz Paperchem, Trevose, Pa.

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## Cationic Polymer F

This polymer is Reten<sup>®</sup> 204LS, an amide-epichlorohydrin copolymer available from Hercules, Inc.

5

## Cationic Polymer G

Verona<sup>®</sup> C-300 from Miles Inc., Pittsburgh, Pa.

## Cationic Polymer H

10 Aquaprox<sup>®</sup> UP103 from Synthron, Morgantown, N.C.

## Cationic Polymer I

Tinofix<sup>®</sup> EW from Ciba-Geigy Corporation, Hawthorn,  
N.Y.

15

## Cationic Polymer J

Reactofix<sup>®</sup> ES from Ivax Industries, Inc.

## Cationic Polymer K

20 Protefix<sup>®</sup> TS, a cationic carbamide from Synthron.

In the table, the column "CP Type" identifies the cationic polymer, whereas the column "Type" identifies the type of transfer material employed, as described  
25 above.



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TABLE 4  
Evaluation of Various Cationic Polymers

	CP Type	Amount	Type
5	A	2	A
	A	4	A
	A	6	A
	B	2	A
	B	4	A
10	C	2	A
	C	4	A
	D	2	A
	D	4	A
	E	2	A
15	F	5	A
	F	4	A
	F	8	A
	G	8	B
	H	8	B
20	I	8	B
	J	8	B
	K	8	B

The transferable colorant composition is applied to a substrate, allowed to dry, and transferred as in Example 3.

#### EXAMPLE 11

The formulations involving Cationic Polymer F as reported in Example 10 are modified further since yellowing may be encountered when images are heat transferred.

In the experiments, the binder employed in the transfer layer is either Airflex® 124 (Binder E) or Airflex 125® (Binder F). The binder is present at a level of 26 weight percent, based on the weight of the

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thermoplastic polymer. The cationic polymer used is Reten® 204LS, the humectant is Polyglycol® E200, a poly(ethylene glycol) from Dow Chemical Company having a weight-average molecular weight of about 200; the  
 5 humectant level is 10 weight percent, based on the weight of the thermoplastic polymer. The surfactant is Triton® X-100 at a level of 3 weight percent, based on the weight of thermoplastic polymer employed. The fluid viscosity modifier is Polyox® N80 at a level of 3  
 10 weight percent, also based on the weight of the thermoplastic polymer. The thermoplastic polymers to be evaluated include micropowders MPP 635 and Accumist® A-12, from Micropowders and Allied Chemical Company, respectively. The transferable colorant composition  
 15 comprising the above transfer material is applied to a substrate, allowed to dry, and transferred as in Example 3.

The experiments are summarized in Table 5. In the table, the "TPP" column identifies the thermoplastic  
 20 polymer by letter (see Example 9), the "WT.-% CP" column identifies the amount of Reten® 204LS employed in the second layer in weight percent, based on the weight of the thermoplastic polymer, and the "WT.-% Acid" column identifies the amount of citric acid  
 25 included in the transfer layer, in weight-percent based on the weight of the thermoplastic polymer.

TABLE 5

Summary of Cationic Polymer F  
 Formulation Modifications

30	Sample	Binder	TPP	Parts CP	Wt.-% Acid
	1	F	H	8	None
	2	F	H	8	4
	3	E	H	8	None
35	4	F	F	8	None
	5	F	F	12	None
	6	F	F	16	None

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All cited patents, publications, copending applications, and provisional applications referred to  
5 in this application are herein incorporated by reference.

The invention being thus described, it will be obvious that the same may be varied in many ways. Such variations are not to be regarded as a departure from  
10 the spirit and scope of the present invention, and all such modifications as would be obvious to one skilled in the art are intended to be included within the scope of the following claims.

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WHAT IS CLAIMED IS:

1        1. A water-soluble or dispersible transferable  
2 colorant composition, which comprises:  
3        a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising at least  
5 one of (i) particles of a thermoplastic polymer having  
6 dimensions of about 1 to about 50 micrometers, from  
7 about 10 to about 50 weight percent of a film forming  
8 binder, based upon the weight of the thermoplastic  
9 polymer, and optionally from about 0.2 to about 10  
10 weight percent of a fluid viscosity modifier, based on  
11 the weight of the thermoplastic polymer, (ii) about 15  
12 to about 80 percent by weight of a film-forming binder  
13 selected from the group consisting of ethylene-acrylic  
14 acid copolymers, polyolefins, and waxes and from about  
15 85 to about 20 percent by weight of a powdered  
16 thermoplastic polymer selected from the group  
17 consisting of polyolefins, polyesters, polyethylene,  
18 polyamides, waxes, epoxy polymers, ethylene-acrylic  
19 acid copolymers, and ethylene-vinyl acetate  
20 copolymers, wherein each of said film-forming binder  
21 and said powdered thermoplastic polymer melts in the  
22 range of from about 65°C to about 180°C and the  
23 powdered thermoplastic polymer comprising particles of  
24 about 1 to about 50 micrometers, (iii) a film forming  
25 binder selected from the group consisting of ethylene-  
26 acrylic acid copolymers having particles of about 1 to  
27 about 50 micrometers, polyolefins, and waxes and which  
28 melts in the range of from about 65°C to about 180°C,  
29 (iv) a thermoplastic polymer having particles of about  
30 1 to about 50 micrometers selected from the group  
31 consisting of polyolefins, polyesters, and ethylene-  
32 vinyl acetate copolymers and which melts in the range  
33 of from about 65°C to about 180°C, (v) a thermoplastic  
34 polymer having particles of about 1 to about 50

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35 micrometers selected from the group consisting of  
36 polyolefins, polyesters, and ethylene-vinyl acetate  
37 copolymers, ethylene-methacrylic acid copolymers, and  
38 ethylene-acrylic acid copolymers and which melts in  
39 the range of from about 65°C to about 180°C; or (vi) a  
40 natural wax; and

41 b) a water soluble or dispersible colorant with  
42 the proviso that said colorant is not a sublimation  
43 dye or heat activation dye.

1 2. The transferable colorant composition of claim 1,  
2 wherein the transfer material comprises particles of a  
3 thermoplastic polymer having dimensions of about 1 to  
4 about 50 micrometers, from about 10 to about 50 weight  
5 percent of a film-forming binder, based on the weight  
6 of the thermoplastic polymer, and optionally from about  
7 0.2 to about 10 percent of a fluid viscosity modifier,  
8 based on the weight of the thermoplastic polymer.

1 3. The transferable colorant composition of claim 1,  
2 wherein the transfer material comprises particles of a  
3 thermoplastic polymer having dimensions of about 1 to  
4 50 micrometers, from about 10 to about 50 weight  
5 percent of a film-forming binder, based on the weight  
6 of the thermoplastic polymer, and from about 2 to about  
7 20 weight percent of a cationic polymer, based on the  
8 weight of the thermoplastic polymer.

1 4. The transferable colorant composition of claim 1,  
2 wherein the transfer material comprises from about 15  
3 to about 80 percent by weight of a film-forming binder  
4 selected from the group consisting of ethylene-acrylic  
5 acid copolymers, polyolefins, and waxes and from about  
6 85 to about 20 percent by weight of a powdered  
7 thermoplastic polymer selected from the group  
8 consisting of polyolefins, polyesters, polyamides,

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9 waxes, epoxy polymers, ethylene-acrylic acid  
10 copolymers, and ethylene-vinyl acetate copolymers,  
11 wherein each of said film-forming binder and said  
12 powdered thermoplastic polymer melts in the range of  
13 from about 65 to about 180 degrees Celsius and said  
14 powdered thermoplastic consists of particles which are  
15 from about 1 to about 50 micrometers in diameter.

1 5. The transferable colorant composition of claim 1,  
2 wherein the transfer material comprises a film-forming  
3 binder selected from the group consisting of ethylene-  
4 acrylic acid copolymers of about 1 to 50 micrometers,  
5 polyolefins, and waxes and which melts in the range of  
6 from about 65 to about 80 degrees Celsius.

1 6. The transferable colorant composition of claim 1,  
2 wherein the transfer material comprises a  
3 thermoplastic polymer having particles of about 1 to  
4 50 micrometers selected from the group consisting of  
5 polyolefins, polyesters, and ethylene-vinyl acetate  
6 copolymers and which melts in the range of from about  
7 65 to about 180 degrees Celsius.

1 7. The transferable colorant composition of claim 1,  
2 wherein said colorant is present in an amount of 0.25  
3 to 1.5 parts (weight) to about 1 part (weight)  
4 thermoplastic polymer.

1 8. The transferable colorant composition of claim 1,  
2 wherein said colorant and said thermoplastic polymer  
3 taken together are present in an amount of one part  
4 (weight) of colorant/ thermoplastic polymer to about  
5 1-3 parts (volume) film-forming binder.

1 9. The transferable colorant composition of claim 8,  
2 wherein said colorant and said thermoplastic polymer

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3 taken together are present in an amount of 1 part  
4 (weight) of colorant/thermoplastic polymer to about 1  
5 part (volume) film-forming binder.

1 10. The transferable colorant composition of claim 8,  
2 wherein said colorant and said thermoplastic polymer  
3 taken together are present in an amount of 1 part  
4 (weight) of colorant/thermoplastic polymer to about  
5 1.25 parts (volume) film-forming binder.

1 11. The transferable colorant composition of claim 8,  
2 wherein said colorant and said thermoplastic polymer  
3 taken together are present in an amount of 1 part  
4 (weight) of colorant/thermoplastic polymer to about  
5 1.5 parts (volume) film-forming binder.

1 12. The transferable colorant composition of claim 8,  
2 wherein said colorant and said thermoplastic polymer  
3 taken together are present in an amount of 1 part  
4 (weight) of colorant/thermoplastic polymer to about 2  
5 parts (volume) film-forming binder.

1 13. The transferable colorant composition of claim 8,  
2 wherein said colorant and said thermoplastic polymer  
3 taken together are present in an amount of 1 part  
4 (weight) of colorant/thermoplastic polymer to about 3  
5 parts (volume) film-forming binder.

1 14. The transferable colorant composition of claim 1,  
2 which comprises:

3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising particles  
5 of a thermoplastic polymer having dimensions of about  
6 1 to about 50 micrometers, from about 10 to about 50  
7 weight percent of a film forming binder, based upon  
8 the weight of the thermoplastic polymer, and

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9 optionally from about 0.2 to about 10 weight percent  
10 of a fluid viscosity modifier, based on the weight of  
11 the thermoplastic polymer; and  
12 b) a water soluble or dispersible colorant.

1 15. The transferable colorant composition of claim 1,  
2 which comprises:  
3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising about 15  
5 to about 80 percent by weight of a film-forming binder  
6 selected from the group consisting of ethylene-acrylic  
7 acid copolymers, polyolefins, and waxes and from about  
8 85 to about 20 percent by weight of a powdered  
9 thermoplastic polymer selected from the group  
10 consisting of polyolefins, polyesters, polyethylene,  
11 polyamides, waxes, epoxy polymers, ethylene-acrylic  
12 acid copolymers, and ethylene-vinyl acetate  
13 copolymers, wherein each of said film-forming binder  
14 and said powdered thermoplastic polymer melts in the  
15 range of from about 65°C to about 180°C and the  
16 powdered thermoplastic polymer comprising particles of  
17 about 1 to about 50 micrometers; and  
18 b) a water soluble or dispersible colorant.

1 16. The transferable colorant composition of claim 1,  
2 which comprises:  
3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising a film  
5 forming binder selected from the group consisting of  
6 ethylene-acrylic acid copolymers having particles of  
7 about 1 to about 50 micrometers, polyolefins, and  
8 waxes and which melts in the range of from about 65°C  
9 to about 180°C; and  
10 b) a water soluble or dispersible colorant.



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1 17. The transferable colorant composition of claim 1,  
2 which comprises:

- 3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising a  
5 thermoplastic polymer having particles of about 1 to  
6 about 50 micrometers selected from the group  
7 consisting of polyolefins, polyesters, and ethylene-  
8 vinyl acetate copolymers and which melts in the range  
9 of from about 65°C to about 180°C; and  
10 b) a water soluble or dispersible colorant.

1 18. The transferable colorant composition of claim 1,  
2 which comprises:

- 3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising a  
5 thermoplastic polymer having particles of about 1 to  
6 about 50 micrometers selected from the group  
7 consisting of polyolefins, polyesters, and ethylene-  
8 vinyl acetate copolymers, ethylene-methacrylic acid  
9 copolymers, and ethylene-acrylic acid copolymers and  
10 which melts in the range of from about 65°C to about  
11 180°C; and  
12 b) a water soluble or dispersible colorant.

1 19. The transferable colorant composition of claim 1,  
2 which comprises:

- 3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and a natural wax; and  
5 b) a water soluble or dispersible colorant.

1 20. The transferable colorant composition of claim 1,  
2 which comprises said transferable colorant composition  
3 in a dry or concentrated form.

1 21. The transferable colorant composition of claim  
2 20, wherein said dry or concentrated form is a gel or

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3 paste comprising 1 part wax dispersion and 1 to 3  
4 parts thermoplastic polymer/colorant mixture.

1 22. The transferable colorant composition of claim 1,  
2 with the proviso that said water-soluble transferable  
3 colorant composition does not contain an organic  
4 solvent.

1 23. A water-soluble or dispersible transferable  
2 colorant composition, which comprises:

3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising at least  
5 one of (i) particles of a thermoplastic polymer having  
6 dimensions of about 1 to about 50 micrometers, from  
7 about 10 to about 50 weight percent of a film forming  
8 binder, based upon the weight of the thermoplastic  
9 polymer, and optionally from about 0.2 to about 10  
10 weight percent of a fluid viscosity modifier, based on  
11 the weight of the thermoplastic polymer, (ii) about 15  
12 to about 80 percent by weight of a film-forming binder  
13 selected from the group consisting of ethylene-acrylic  
14 acid copolymers, polyolefins, and waxes and from about  
15 85 to about 20 percent by weight of a powdered  
16 thermoplastic polymer selected from the group  
17 consisting of polyolefins, polyesters, polyethylene,  
18 polyamides, waxes, epoxy polymers, ethylene-acrylic  
19 acid copolymers, and ethylene-vinyl acetate  
20 copolymers, wherein each of said film-forming binder  
21 and said powdered thermoplastic polymer melts in the  
22 range of from about 65°C to about 180°C and the  
23 powdered thermoplastic polymer comprising particles of  
24 about 1 to about 50 micrometers, (iii) a film forming  
25 binder selected from the group consisting of ethylene-  
26 acrylic acid copolymers having particles of about 1 to  
27 about 50 micrometers, polyolefins, and waxes and which  
28 melts in the range of from about 65°C to about 180°C,

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29 (iv) a thermoplastic polymer having particles of about  
30 1 to about 50 micrometers selected from the group  
31 consisting of polyolefins, polyesters, and ethylene-  
32 vinyl acetate copolymers and which melts in the range  
33 of from about 65°C to about 180°C, (v) a thermoplastic  
34 polymer having particles of about 1 to about 50  
35 micrometers selected from the group consisting of  
36 polyolefins, polyesters, and ethylene-vinyl acetate  
37 copolymers, ethylene-methacrylic acid copolymers, and  
38 ethylene-acrylic acid copolymers and which melts in  
39 the range of from about 65°C to about 180°C; or (vi) a  
40 natural wax; and

41       b) a water soluble or dispersible colorant;  
42 wherein said water-soluble transferable material is  
43 capable of transferring and adhering the transferable  
44 colorant composition of the invention from a front  
45 surface of a substrate support upon the application of  
46 heat energy to the rear surface of the substrate, said  
47 transferable colorant composition strips from said  
48 front surface of the substrate by liquefying and  
49 releasing from said substrate when heated, said  
50 liquefied transferable colorant composition providing  
51 adherence to a receptor element by flowing onto said  
52 receptor element and solidifying thereon.

1 24. A method of applying an image to a receptor  
2 element which comprises the steps of:

3       (A) applying a water-soluble or dispersible  
4 transferable colorant composition to a substrate, said  
5 transferable colorant composition comprising:

6       (a) a transfer material having a melting point of  
7 at least 65°C and comprising at least one of (i)  
8 particles of a thermoplastic polymer having dimensions  
9 of about 1 to about 50 micrometers, from about 10 to  
10 about 50 weight percent of a film forming binder,  
11 based upon the weight of the thermoplastic polymer,

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12 and optionally from about 0.2 to about 10 weight  
13 percent of a fluid viscosity modifier, based on the  
14 weight of the thermoplastic polymer, (ii) about 15 to  
15 about 80 percent by weight of a film-forming binder  
16 selected from the group consisting of ethylene-acrylic  
17 acid copolymers, polyolefins, and waxes and from about  
18 85 to about 20 percent by weight of a powdered  
19 thermoplastic polymer selected from the group  
20 consisting of polyolefins, polyesters, polyethylene,  
21 polyamides, waxes, epoxy polymers, ethylene-acrylic  
22 acid copolymers, and ethylene-vinyl acetate  
23 copolymers, wherein each of said film-forming binder  
24 and said powdered thermoplastic polymer melts in the  
25 range of from about 65°C to about 180°C and the  
26 powdered thermoplastic polymer comprises particles of  
27 about 1 to about 50 micrometers, (iii) a film forming  
28 binder selected from the group consisting of ethylene-  
29 acrylic acid copolymers having particles of about 1 to  
30 about 50 micrometers, polyolefins, and waxes and which  
31 melts in the range of from about 65°C to about 180°C,  
32 (iv) a thermoplastic polymer having particles of about  
33 1 to about 50 micrometers selected from the group  
34 consisting of polyolefins, polyesters, and ethylene-  
35 vinyl acetate copolymers and which melts in the range  
36 of from about 65°C to about 180°C, (v) a thermoplastic  
37 polymer having particles of about 1 to about 50  
38 micrometers selected from the group consisting of  
39 polyolefins, polyesters, and ethylene-vinyl acetate  
40 copolymers, ethylene-methacrylic acid copolymers, and  
41 ethylene-acrylic acid copolymers and which melts in  
42 the the range of from about 65°C to about 180°C; or  
43 (vi) a natural wax; and  
44 (b) a colorant;  
45 (B) positioning a surface of said substrate  
46 having the transferable colorant composition thereon  
47 against said receptor element, and

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48 (C) applying heat to the rear surface of said  
49 substrate to transfer said transferable colorant to  
50 said receptor element.

1 25. The method of claim 24, with the proviso that the  
2 said colorant is not heat activated or sublimated.

1 26. The method of claim 24, wherein in step (A) said  
2 image is applied to said substrate by a paint brush,  
3 pen, finger painting, air brush, or an ink-jet  
4 printer.

1 27. A kit comprising:

2 (A) at least one container having a water-  
3 soluble or dispersible transferable colorant  
4 composition, said transferable colorant composition  
5 comprises:

6 (a) a water-soluble transfer material having a  
7 melting point of at least 65°C and comprising at  
8 least one of (i) particles of a thermoplastic  
9 polymer having dimensions of about 1 to about 50  
10 micrometers, from about 10 to about 50 weight  
11 percent of a film forming binder, based upon the  
12 weight of the thermoplastic polymer, and  
13 optionally from about 0.2 to about 10 weight  
14 percent of a fluid viscosity modifier, based on  
15 the weight of the thermoplastic polymer, (ii)  
16 about 15 to about 80 percent by weight of a film-  
17 forming binder selected from the group consisting  
18 of ethylene-acrylic acid copolymers, polyolefins,  
19 and waxes and from about 85 to about 20 percent  
20 by weight of a powdered thermoplastic polymer  
21 selected from the group consisting of  
22 polyolefins, polyesters, polyethylene,  
23 polyamides, waxes, epoxy polymers, ethylene-  
24 acrylic acid copolymers, and ethylene-vinyl

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25 acetate copolymers, wherein each of said film-  
26 forming binder and said powdered thermoplastic  
27 polymer melts in the range of from about 65°C to  
28 about 180°C and the powdered thermoplastic polymer  
29 comprising particles of about 1 to about 50  
30 micrometers, (iii) a film forming binder selected  
31 from the group consisting of ethylene-acrylic  
32 acid copolymers having particles of about 1 to  
33 about 50 micrometers, polyolefins, and waxes and  
34 which melts in the range of from about 65°C to  
35 about 180°C, (iv) a thermoplastic polymer having  
36 particles of about 1 to about 50 micrometers  
37 selected from the group consisting of  
38 polyolefins, polyesters, and ethylene-vinyl  
39 acetate copolymers and which melts in the range  
40 of from about 65°C to about 180°C, (v) a  
41 thermoplastic polymer having particles of about 1  
42 to about 50 micrometers selected from the group  
43 consisting of polyolefins, polyesters, and  
44 ethylene-vinyl acetate copolymers, ethylene-  
45 methacrylic acid copolymers, and ethylene-acrylic  
46 acid copolymers and which melts in the range of  
47 from about 65°C to about 180°C; or (vi) a natural  
48 wax; and  
49 (b) a water soluble or dispersible colorant;  
50 (B) at least one application means for applying  
51 said transferable colorant composition to a substrate;  
52 and  
53 (C) optionally at least one substrate and/or at  
54 least one receptor element.

- 1 28. A transferable liquid, aqueous colorant  
2 composition, which comprises:  
3 a) a water-soluble transfer material having a  
4 melting point of at least 65°C and comprising at least  
5 one of (i) particles of a thermoplastic polymer having

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6 dimensions of about 1 to about 50 micrometers, from  
7 about 10 to about 50 weight percent of a film forming  
8 binder, based upon the weight of the thermoplastic  
9 polymer, and optionally from about 0.2 to about 10  
10 weight percent of a fluid viscosity modifier, based on  
11 the weight of the thermoplastic polymer, (ii) about 15  
12 to about 80 percent by weight of a film-forming binder  
13 selected from the group consisting of ethylene-acrylic  
14 acid copolymers, polyolefins, and waxes and from about  
15 85 to about 20 percent by weight of a powdered  
16 thermoplastic polymer selected from the group  
17 consisting of polyolefins, polyesters, polyethylene,  
18 polyamides, waxes, epoxy polymers, ethylene-acrylic  
19 acid copolymers, and ethylene-vinyl acetate  
20 copolymers, wherein each of said film-forming binder  
21 and said powdered thermoplastic polymer melts in the  
22 range of from about 65°C to about 180°C and the  
23 powdered thermoplastic polymer comprises particles of  
24 about 1 to about 50 micrometers, (iii) a film forming  
25 binder selected from the group consisting of ethylene-  
26 acrylic acid copolymers having particles of about 1 to  
27 about 50 micrometers, polyolefins, and waxes and which  
28 melts in the range of from about 65°C to about 180°C,  
29 (iv) a thermoplastic polymer having particles of about  
30 1 to about 50 micrometers selected from the group  
31 consisting of polyolefins, polyesters, and ethylene-  
32 vinyl acetate copolymers and which melts in the range  
33 of from about 65°C to about 180°C, (v) a thermoplastic  
34 polymer having particles of about 1 to about 50  
35 micrometers selected from the group consisting of  
36 polyolefins, polyesters, and ethylene-vinyl acetate  
37 copolymers, ethylene-methacrylic acid copolymers, and  
38 ethylene-acrylic acid copolymers and which melts in  
39 the range of from about 65°C to about 180°C; or (vi) a  
40 natural wax; and  
41       b) a water soluble or dispersible colorant; and

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42           c)    water.

1   29.   The transferable liquid of claim 28, wherein said  
2   colorant is selected from the group consisting of  
3   pigments, dyes, and inks.

1   30.   A water-soluble colorant composition, which  
2   comprises:

3       a)   a water-soluble material having a melting  
4   point of at least 65°C and comprising at least one of  
5   (i) particles of a thermoplastic polymer having  
6   dimensions of about 1 to about 50 micrometers, from  
7   about 10 to about 50 weight percent of a film forming  
8   binder, based upon the weight of the thermoplastic  
9   polymer, and optionally from about 0.2 to about 10  
10   weight percent of a fluid viscosity modifier, based on  
11   the weight of the thermoplastic polymer, (ii) about 15  
12   to about 80 percent by weight of a film-forming binder  
13   selected from the group consisting of ethylene-acrylic  
14   acid copolymers, polyolefins, and waxes and from about  
15   85 to about 20 percent by weight of a powdered  
16   thermoplastic polymer selected from the group  
17   consisting of polyolefins, polyesters, polyethylene,  
18   polyamides, waxes, epoxy polymers, ethylene-acrylic  
19   acid copolymers, and ethylene-vinyl acetate  
20   copolymers, wherein each of said film-forming binder  
21   and said powdered thermoplastic polymer melts in the  
22   range of from about 65°C to about 180°C and the  
23   powdered thermoplastic polymer comprising particles of  
24   about 1 to about 50 micrometers, (iii) a film forming  
25   binder selected from the group consisting of ethylene-  
26   acrylic acid copolymers having particles of about 1 to  
27   about 50 micrometers, polyolefins, and waxes and which  
28   melts in the range of from about 65°C to about 180°C,  
29   (iv) a thermoplastic polymer having particles of about  
30   1 to about 50 micrometers selected from the group



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31 consisting of polyolefins, polyesters, and ethylene-  
32 vinyl acetate copolymers and which melts in the range  
33 of from about 65°C to about 180°C, (v) a thermoplastic  
34 polymer having particles of about 1 to about 50  
35 micrometers selected from the group consisting of  
36 polyolefins, polyesters, and ethylene-vinyl acetate  
37 copolymers, ethylene-methacrylic acid copolymers, and  
38 ethylene-acrylic acid copolymers and which melts in  
39 the range of from about 65°C to about 180°C; or (vi) a  
40 natural wax; and  
41 b) a water soluble or dispersible colorant.

1 31. A method of applying an image to a receptor  
2 element which comprises the steps of:  
3 (A) applying a water-soluble or dispersible  
4 colorant composition to a receptor element, said  
5 colorant composition comprising:  
6 (a) a material having a melting point of at least  
7 65°C and comprising at least one of (i) particles of a  
8 thermoplastic polymer having dimensions of about 1 to  
9 about 50 micrometers, from about 10 to about 50 weight  
10 percent of a film forming binder, based upon the  
11 weight of the thermoplastic polymer, and optionally  
12 from about 0.2 to about 10 weight percent of a fluid  
13 viscosity modifier, based on the weight of the  
14 thermoplastic polymer, (ii) about 15 to about 80  
15 percent by weight of a film-forming binder selected  
16 from the group consisting of ethylene-acrylic acid  
17 copolymers, polyolefins, and waxes and from about 85  
18 to about 20 percent by weight of a powdered  
19 thermoplastic polymer selected from the group  
20 consisting of polyolefins, polyesters, polyethylene,  
21 polyamides, waxes, epoxy polymers, ethylene-acrylic  
22 acid copolymers, and ethylene-vinyl acetate  
23 copolymers, wherein each of said film-forming binder  
24 and said powdered thermoplastic polymer melts in the

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25 range of from about 65°C to about 180°C and the  
26 powdered thermoplastic polymer comprises particles of  
27 about 1 to about 50 micrometers, (iii) a film forming  
28 binder selected from the group consisting of ethylene-  
29 acrylic acid copolymers having particles of about 1 to  
30 about 50 micrometers, polyolefins, and waxes and which  
31 melts in the range of from about 65°C to about 180°C,  
32 (iv) a thermoplastic polymer having particles of about  
33 1 to about 50 micrometers selected from the group  
34 consisting of polyolefins, polyesters, and ethylene-  
35 vinyl acetate copolymers and which melts in the range  
36 of from about 65°C to about 180°C, (v) a thermoplastic  
37 polymer having particles of about 1 to about 50  
38 micrometers selected from the group consisting of  
39 polyolefins, polyesters, and ethylene-vinyl acetate  
40 copolymers, ethylene-methacrylic acid copolymers, and  
41 ethylene-acrylic acid copolymers and which melts in  
42 the the range of from about 65°C to about 180°C; or  
43 (vi) a natural wax; and  
44 (b) a colorant;  
45 (B) positioning a front surface of a non-stick  
46 sheet against said receptor element over the area on  
47 which said water-soluble colorant composition is  
48 applied, and  
49 (C) applying heat to a rear surface of said non-  
50 stick sheet; and  
51 (D) removing said non-stick sheet from said  
52 receptor element.

1 32. The method of claim 31, wherein said colorant is  
2 not heat activated or sublimated.

1 33. The method of claim 31, wherein in step (A) said  
2 image is applied to said substrate by a paint brush,  
3 pen, finger painting, airbrush, or an ink jet printer.

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FIG. 1

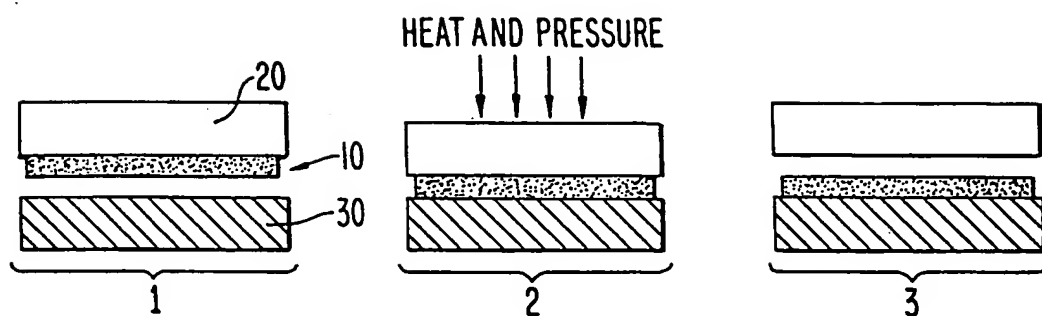
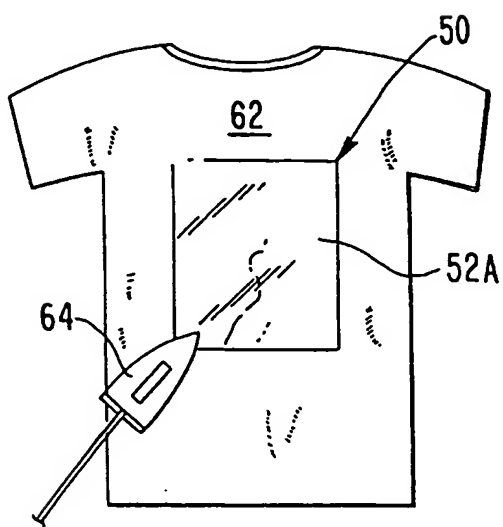


FIG. 2



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/17544

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 B41M5/03 C09D11/02 C09B67/20

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B41M C09D C09B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 146 504 A (SICPA HOLDING S.A.) 26 June 1985 (1985-06-26)  examples 1,4,6,7 page 8, line 17 - line 21 page 11, paragraph 2 page 12, paragraph 3 ---	1,2,4,6, 7,14-20, 24,28,29
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

10 December 1999

Date of mailing of the international search report

23. 12. 1999

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Ketterer, M

# INTERNATIONAL SEARCH REPORT

In tional Application No  
PCT/US 99/17544

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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# INTERNATIONAL SEARCH REPORT

In. tional Application No

PCT/US 99/17544

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 99/17544

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 30 (partially)  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 99 /17544

FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

Continuation of Box I.1

Claims Nos.: 30 (partially)

Claim 30 defines water-soluble colorant compositions in a broad and general sense. There is no limitation as in the other compound claims 1, 23 and 28 focussed to the heat transfer technique.  
Claim 30 was therefore searched only partially concerning colorant compositions useful or used in the field of heat transfer recording.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/17544

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